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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
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09/107,643	06/30/98	TRACY	R TRAC-100FWC-
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EDWARD D MANZO
COOK MCFARRON & MANZO
200 WEST ADAMS STREET SUITE 2850
CHICAGO IL 60606

QM41/1030

EXAMINER

CLARKE, R

ART UNIT	PAPER NUMBER
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3735

DATE MAILED: 10/30/98

Please find below and/or attached an Office communication concerning this application or proceeding.

Commissioner of Patents and Trademarks

Office Action Summary

Application No.
09/107,643

Applicant(s)
TRACY

Examiner
Robert A. Clarke

Group Art Unit
3735



☐ Responsive to communication(s) filed on _____.

☐ This action is **FINAL**.

☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11; 453 O.G. 213.

A shortened statutory period for response to this action is set to expire 3 month(s), or thirty days, whichever is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

Disposition of Claims

☒ Claim(s) 7 is/are pending in the application.

Of the above, claim(s) _____ is/are withdrawn from consideration.

☐ Claim(s) _____ is/are allowed.

☒ Claim(s) 7 is/are rejected.

☐ Claim(s) _____ is/are objected to.

☐ Claims _____ are subject to restriction or election requirement.

Application Papers

☒ See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948.

☐ The drawing(s) filed on _____ is/are objected to by the Examiner.

☐ The proposed drawing correction, filed on _____ is ☐ approved ☐ disapproved.

☐ The specification is objected to by the Examiner.

☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. § 119

☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).

☐ All ☐ Some* ☐ None of the CERTIFIED copies of the priority documents have been
☐ received.

☐ received in Application No. (Series Code/Serial Number) _____.

☐ received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

*Certified copies not received: _____.

☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

Attachment(s)

☒ Notice of References Cited, PTO-892

☒ Information Disclosure Statement(s), PTO-1449, Paper No(s). 2

☐ Interview Summary, PTO-413

☒ Notice of Draftsperson's Patent Drawing Review, PTO-948

☐ Notice of Informal Patent Application, PTO-152

--- SEE OFFICE ACTION ON THE FOLLOWING PAGES ---

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

2. Claim 7 is rejected under 35 U.S.C. 102(e) as being anticipated by Foreman (US Pat. 4,816,025).

3. Foreman teaches to provide a standard hourglass shape diaper (Fig. 1) having a plastic outer layer (col. 8, lines 43-47), and liquid absorbent layer (col. 7, lines 41-56). Foreman also teaches to provide a separate second barrier cuff '262 formed adjacent to the outer plastic layer along the waistband of the diaper to provide a soft edge to the wearer preferably made of a variety of soft materials (col. 4, lines 17-60; col 11, lines 1-12.)

To the extent that applicant may argue that the cuff of Foreman is not a "soft padding member" the following rejection is additionally being made.

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are

such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claim 7 is rejected under 35 U.S.C. 103(a) as being unpatentable over Foreman in view of Lindquist (US Pat. 3,572,342).

Foreman discloses the invention as claimed except for the provision of a padding member. Lindquist teaches in the same field of endeavor to provide padding elements '37 and '38 made of foam applied along the portion of the diaper pass which seepage of fluids is not desired along the upper surface of a diaper.

It would have been obvious to one having ordinary skill in the art at the time the invention was made to have provided the upper surface of end cuffs '262 of Foreman with padding elements made of foam in order to retard fluid seepage.

Claim Rejections - 35 USC § 101

6. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

7. Claim 7 is rejected under the judicially created doctrine of double patenting over claims 1-5 of U. S. Patent No. 5,064,421 since the claims, if allowed, would improperly extend the "right to exclude" already granted in the patent.

The subject matter claimed in the instant application is fully disclosed in the patent and is covered by the patent since the patent and the application are claiming common subject matter.

Furthermore, there is no apparent reason why applicant was prevented from presenting claims corresponding to those of the instant application during prosecution of the application which matured into a patent. See *In re Schneller*, 397 F.2d 350, 158 USPQ 210 (CCPA 1968). See also MPEP § 804.

8. Claim 7 is provisionally rejected under the judicially created doctrine of double patenting over the claims of copending Application No. 08/092,540. This is a provisional double patenting rejection since the conflicting claims have not yet been patented.

The subject matter claimed in the instant application is fully disclosed in the referenced copending application and would be covered by any patent granted on that copending application since the referenced copending application and the instant application are claiming common subject matter.

Furthermore, there is no apparent reason why applicant would be prevented from presenting claims corresponding to those of the instant application in the other copending application. See *In re Schneller*, 397 F.2d 350, 158 USPQ 210 (CCPA 1968). See also MPEP § 804.

9. Any inquiry directed solely to the status of the instant application should be directed to the

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group receptionist at (703) 308-0858. All other inquiry concerning this communication or earlier communications from the examiner should be directed to Robert Clarke whose telephone number is (703) 308-2908.

Should the Examiner not be present, Applicant may call the Examiner's Supervisor, John Weiss at (703) 308-2702.

Should Applicant wish to leave a message with the group receptionist, the number is (703) 308-0858.

Should Applicant wish to send a facsimile for discussion purposes only the number is (703) 306-4520.

Should Applicant wish to send a facsimile for entry into the file the number is (703) 305-3590.

RC rc
October 25, 1998

Robert A. Clarke
Robert A. Clarke
Primary Examiner
Sector 3700